



Griffin Fee Schedule

Griffin utilizes multiple vendors and affiliates to provide the Griffin app to our customers. Investment advisory services are provided by our affiliate, Interest Financial, LLC. Brokerage services, including ACH transfers, are provided by Alpaca Securities, LLC and Apex Clearing Corporation.

Griffin Subscription Fee

The Subscription Fee is a flat, cancel-anytime Membership fee payable **monthly (\$5)** or **annually (\$60)***. At our sole discretion, we can waive fees from time to time and/or offer discounts due to special promotions or based on individual client circumstances.

Alpaca Securities, LLC Fees

ACH Transfers (Inbound, greater than \$5)	\$0 / transaction
Domestic (US) Wire Transfers (Outbound)	\$25 / transaction
International Wire Transfers (Outbound)	\$50 / transaction
ACATS Transfer (Outbound) - US	\$100 / full or partial ACAT
ACATS Transfer (Inbound)	\$0 / full or partial ACAT

Apex Clearing Corporation Fees

ACH Transfers (Inbound, greater than \$5)	\$0 / transaction
Domestic (US) Wire Transfers (Outbound)	\$25 / transaction
International Wire Transfers (Outbound)	\$45 / transaction
ACATS Transfer (Outbound) - US	\$75 / full or partial ACAT
ACATS Transfer (Inbound)	\$0 / full or partial ACAT

Pass-Through Regulatory Fees

Securities and Exchange Commission (SEC) fee (sells only) for both equities and options	\$27.80 / \$1,000,000
FINRA Trading Activity Fees (TAF)**	\$0.000166 per share***

**If client receives Advisory services: Griffin allocates \$0.10 of the \$5 monthly fee to Interest Financial, LLC for providing those services. If a client pays annually, Griffin allocates \$0.10 per month of the annual fee to Interest Financial, LLC.*

***The transaction fees are rounded up to the nearest penny.*

****Max fee of \$8.30.*



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The Griffin App is Patent Pending.

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